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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Dudley Finch, et al.Application No./Patent No.: 10/577,962 Filed/Issue Date: 12-08-2006Entitled: SHAPE-MEMORY POLYMER COATED ELECTRODESTHE REGENTS OF THE UNIVERSITY OF COLORADO, a university

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 018594, Frame 0937, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Amy E. Rinaldo/

Signature

June 20, 2008

Date

Amy E. Rinaldo

Printed or Typed Name

(248) 784-5107

Telephone Number

Agent

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 07, 2006

PTAS

500189967A***500189967A***AMY E. RINALDO
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NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
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DOC DATE: 07/11/2006

ASSIGNOR:

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DOC DATE: 07/05/2006

ASSIGNOR:

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DOC DATE: 08/24/2006

ASSIGNOR:

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DOC DATE: 08/24/2006

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ASSIGNEE:

THE REGENTS OF THE UNIVERSITY OF
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SERIAL NUMBER: 10577962

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: SHAPE-MEMORY POLYMER COATED ELECTRODES

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

Attorney Docket No. 7128.00018

ASSIGNMENT

For the sum of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, we, **Dudley Finch, Kenneth Gall, Andrew Sharp, and Diego Restrepo**, of Boulder, Colorado, do hereby assign, sell, and set over to **THE REGENTS OF THE UNIVERSITY OF COLORADO**, organized and existing under the laws of the State of Colorado, and having a place of business at 4001 Discovery Drive Suite 390, Boulder, Colorado 80309, hereinafter referred to as ASSIGNEE, its successors, assigns or other legal representatives, the entire right, title and interest, domestic and foreign, in and to the inventions and discoveries in

SHAPE-MEMORY POLYMER COATED ELECTRODES

set forth in the U.S. Patent Application Serial No. 10/577,962, filed May 3, 2006, including the right of said ASSIGNEE, its successors, assigns or other legal representatives to make applications and to apply and receive Letters Patent for said inventions and discoveries in the United States and any and all foreign countries in its or their own name or names, or in our names, at its or their election, and we hereby assign, sell and set over to said ASSIGNEE, its successors, assigns or other legal representatives, all rights of priority in and to said inventions and discoveries in all countries.

And we hereby agree for ourselves, our heirs, successors, assigns or other legal representatives to execute all papers, including applications for Letters Patent of any and all kinds and in any and all countries and to perform any and all acts which said ASSIGNEE, its successors, assigns or other legal representatives may deem necessary to secure thereto the rights herein assigned, sold and set over.

And we hereby represent and warrant that we have not granted any rights inconsistent with the rights granted herein.

DUDLEY FINCH

Date

KENNETH GALL

Date

ANDREW SHARP

Date

Diego

DIEGO RESTREPO

8/24/06

Date

And we hereby represent and warrant that we have not granted any rights inconsistent with the rights granted herein.

DUDLEY FINCH

Date

KENNETH GALL

Date



ANDREW SHARP

8/27/06

Date

DIEGO RESTREPO

Date


And we hereby represent and warrant that we have not granted any rights inconsistent with the rights granted herein.

DUDLEY FINCH



KENNETH GALL

Date



Date

ANDREW SHARP

Date

DIEGO RESTREPO

Date

And we hereby represent and warrant that we have not granted any rights inconsistent with the rights granted herein.

DS Finch
DUDLEY FINCH

11th July 2008
Date

KENNETH GALL

Date

ANDREW SHARP

Date

DIEGO RESTREPO

Date